

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |          |
|---|----------|
| -----   | <b>X</b> |
|   | :        |
| <b>In re</b>                                  | :        |
|   | :        |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | :        |
|   | :        |
| <b>Debtors.</b>                               | :        |
|   | :        |
|   | :        |
|   | :        |
|   | :        |
|   | :        |
| -----   | <b>X</b> |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 19, 2019, I caused to be served the “Notice of Defective Transfer,” dated August 5, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan

Wing Chan

Sworn to before me this  
20<sup>th</sup> day of August, 2019

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2022

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

BAR(23) MAILID \*\*\* 000148647039 \*\*\*

LBH DEFTRFNTC (MERGE2, TXNUM2) 4000188449



BANK JULIUS BAER EUROPE AG  
TRANSFEROR: CREDIT SUISSE  
ATTN: MICHAEL SCHUTZ/ JULIA KUNKELS  
P.O. BOX 1407  
24013 KIEL  
GERMANY

UBS AG  
BAHNHOFSTRASSE 45  
CH-8001 ZURICH  
SWITZERLAND

**Your transfer of claim # 5355829-57 is defective for the reason(s) checked below:**

Other DUPLICATE OF DOCKET 59850; ISIN ALREADY TRANSFERRED

Docket Number 59863

Date: 08/05/2019

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

## **EXHIBIT B**

| Claim Name                 | Address Information   |
|----------------------------|---|
| BANK JULIUS BAER EUROPE AG | TRANSFEROR: CREDIT SUISSE, ATTN: MICHAEL SCHUTZ/ JULIA KUNKELS, P.O. BOX 1407, 24013 KIEL GERMANY |
| UBS AG                     | BAHNHOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND   |

Total Creditor Count 2